



Investor Alerts Portal

IOSCO receives alerts and warnings from its members about firms which are not authorized to provide investment services in the jurisdiction which issued the alert or warning. Some of the alerts or warnings are about unauthorized firms using names similar to those of authorized firms or about unauthorized firms falsely claiming to be associated with authorized firms.

The alerts and warnings included in the attached list are provided by IOSCO members on a voluntary basis. The contents of the alerts and warnings are the responsibility of the IOSCO member which issues them. This is not a complete list of all alerts and warnings from all IOSCO members.

The date next to the name of the IOSCO member is the date when the alert or warning was posted on this list. By clicking on the date you will be directed to the text of the alert or warning on the IOSCO member's web page. You should look at the IOSCO member's web page to get all the relevant information.

*Only alerts posted during the previous and current month are visible in the table below, but all posted alerts are searchable.

*The subject matter is not available for alerts posted prior to 23 March 2019.

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Company	Regulator	Jurisdiction	Date	Subject	Comments
Loanski Finance Limited (clone)	Financial Conduct Authority	United Kingdom	01 May 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
AQA Capital Partners	Financial Conduct Authority	United Kingdom	30 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
Akari Ventures	Central Bank of Ireland	Ireland	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	Akari Ventures is not authorized by the Central Bank to provide investment services. The firm purports to be based in Japan.
Altium Patrimoine	Financial Conduct Authority	United Kingdom	30 Apr 2019 	Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements	No additional comments posted.
Five Winds Asset Management	Financial Market Authority	Austria	30 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
Go T limited (GoTechFx)	Financial Market Authority	Austria	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
BitcoinCode	Financial Market Authority	Austria	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
AlgoTechs	Financial Market Authority	Austria	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
www.schwarzgehard.com	Financial Market Authority	Austria	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
www.brucknerf.com	Financial Market Authority	Austria	30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.

					misrepresentation of material information, etc.)	
Fremont Capital	Financial Market Authority	Austria		30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
www.oliverantonlintner.com	Financial Market Authority	Austria		30 Apr 2019	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
HB Global	British Columbia Securities Commission	British Columbia		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
lux-inter.com	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	LUXEMBOURG OFFSHORE BANKING
https://www.megatradefx.com	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	MACRO PROJECTS LTD
www.latam-fx.com	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	LATAMFX more
www.marketsbroker.com	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	MARKETS BROKER
www.marquetcapital.com	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	MARQUET CAPITAL more
www.maximarkets.org	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	MAXIMARKETS more
www.mbroker.trade	Comisión Nacional del Mercado de Valores	Spain		29 Apr 2019	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	M BROKER more