



Investor Alerts Portal

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The alerts and warnings included in the attached list are provided by IOSCO members on a voluntary basis. The contents of the alerts and warnings are the responsibility of the IOSCO member which issues them. This is not a complete list of all alerts and warnings from all IOSCO members.

The date next to the name of the IOSCO member is the date when the alert or warning was posted on this list. By clicking on the date you will be directed to the text of the alert or warning on the IOSCO member's web page. You should look at the IOSCO member's web page to get all the relevant information.

*Only alerts posted during the previous and current month are visible in the table below, but all posted alerts are searchable.
 *The subject matter is not available for alerts posted prior to 23 March 2019.

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Company	Regulator	Jurisdiction	Date	Subject	Comments
BEST BANK RATES UK	Financial Conduct Authority	United Kingdom	08 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
CLYDE Trade LTD	Commission de Surveillance du Secteur Financier	Luxembourg	07 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
Fusion Fx, Cryptopay Limited, NexFX, Octa-market, Tetris Group Ltd	Commissione Nazionale per le Società e la Borsa	Italy	07 Apr 2022	Miscellaneous	Consob has ordered the black-out of 6 new websites that offer financial services illegally. The commission availed itself of the new powers resulting from the "Decreto Crescita" ("Growth Decree"; Law no. 58 of 28 June 2019, article 36, paragraph 2- te more
www.luxnordicwm.com (clone of FCA authorised firm)	Financial Conduct Authority	United Kingdom	07 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
Societe Generale/ societegenerale.de.com (Clone of EEA Authorised firm details)	Financial Conduct Authority	United Kingdom	07 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
Keller Investments (clone of FCA Authorised firm)	Financial Conduct Authority	United Kingdom	07 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
FORTUNE FX ACADEMY	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
Fernbrook Capital Partners LLC	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
SW Acquisition	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
FXAUTOTRADEPRO	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
FERGOFX	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
FXSMARTCHAINTRADE	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
OCTAFX INC	Financial Conduct Authority	United Kingdom	06 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
Tradethebit, Trade the Bit Ltd., UNICOFX and Unico Aust PTY Ltd.	Ontario Securities Commission	Ontario	05 Apr 2022	Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Miscellaneous	No additional comments posted.
ETRADEXFLIVE	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
CRYPTO VIEW OPTION	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
FX SIGNALS PRO	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
BETA FX TRADE	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
SUGEXCRYPTOPRO	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
FXOPTIONMININGHUB	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.