

Investor Alerts Portal

IOSCO receives alerts and warnings from its members about firms which are not authorized to provide investment services in the jurisdiction which issued the alert or warning. Some of the alerts or warnings are about unauthorized firms using names similar to those of authorized firms or about unauthorized firms falsely claiming to be associated with authorized firms.

The alerts and warnings included in the attached list are provided by IOSCO members on a voluntary basis. The contents of the alerts and warnings are the responsibility of the IOSCO member which issues them. This is not a complete list of all alerts and warnings from all IOSCO members.

The date next to the name of the IOSCO member is the date when the alert or warning was posted on this list. By clicking on the date you will be directed to the text of the alert or warning on the IOSCO member's web page. You should look at the IOSCO member's web page to get all the relevant information.

*Only alerts posted during the previous and current month are visible in the table below, but all posted alerts are searchable.
 *The subject matter is not available for alerts posted prior to 23 March 2019.

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Company	Regulator	Jurisdiction	Date	Subject	Comments
FX TRADE ESPANA COMMUNITY	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
Financial Indemnity Committe	Financial Conduct Authority	United Kingdom	05 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
HTTPS://WWW.OKX.COM/	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	OKX more
HTTPS://WWW.CRYPTBULL.IO	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
HTTPS://TRUSTINVESTING.GITHUB.IO	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	TRUST INVESTING
HTTPS://WWW.OTTOFXTRADING.COM	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	OTTO FOREX GLOBAL LIMITED
HTTPS://IMPRESSIVEAREA.COM	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	No additional comments posted.
HTTPS://WWW.STOXDC.COM/	Comisión Nacional del Mercado de Valores	Spain	04 Apr 2022	Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	HEIMDALL DEVELOPMENTS LTD
CRYPTO ROCKET COIN	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
BRIGHT FX TRADING	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
CAMFX TRADE	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
ECOFXGLOBAL	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
Chase UK (clone of FCA authorised firm)	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
Atlantic Alliant / Atlantic Alliance (Clone of FCA authorised firm)	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
AZOptions (Clone of FCA Authorised Firm)	Financial Conduct Authority	United Kingdom	04 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.) Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents) Regarding markets, exchanges, and clearing and settlement entities Miscellaneous	No additional comments posted.
IDB CAPITAL - IDB S.R.L.	Comisión Nacional de Valores	Argentina	01 Apr 2022	 Regarding registration of issuance, offer or sale of securities/derivatives, and reporting requirements Regarding market intermediaries (investment and trading advisers, collective investment schemes, brokers, dealers, and transfer agents)	https://www.instagram.com/idbcapital.ok more
Infinity4X, Empire Trading and Techsync Management Consultancies Ltd, EqualityFin, Fincloud, Axa Business Solution Ltd	Commissione Nazionale per le Società e la Borsa	Italy	01 Apr 2022	Miscellaneous	Consob has ordered the black-out of 6 new websites that offer financial services illegally. The commission availed itself of the new powers resulting from the "Decreto Crescita" ("Growth Decree"; Law no. 58 of 28 June 2019, article 36, paragraph 2- te more
Century City Ireland (CLONE)	Central Bank of Ireland	Ireland	01 Apr 2022	Miscellaneous	Century City Ireland (CLONE) is not authorised to provide investment services to persons in Ireland. The firm purports to be based in the United Kingdom. more
Active Brokerage	Financial Market Authority	Austria	01 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.
Zinso Bank UAB	Financial Market Authority	Austria	01 Apr 2022	Regarding fraudulent or manipulative practices (insider dealing, market manipulation, misrepresentation of material information, etc.)	No additional comments posted.

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