

## **IOSCO Investor Alerts - 16 August 2014**

16 August 2014 Added (6)	
Link:	https://www.finma.ch/E/SANKTIONEN/UNBEWILLIGTE-INSTITUTE/Pages /details.aspx?orgid=450
Posted by:	Swiss Financial Market Supervisory Authority, Switzerland
Company:	Royal de Bank
Link:	https://www.finma.ch/e/sanktionen/unbewilligte-institute/pages /details.aspx?orgid=449
Posted by:	Swiss Financial Market Supervisory Authority, Switzerland
Company:	Clifton Capital Partners LLC
Link:	http://www.fi.se/Folder-EN/Startpage/Register/Investor-alerts/
Posted by:	Finansinspektionen, Sweden
Company:	Cliffhanger Capital KB
Link:	http://www.fi.se/Folder-EN/Startpage/Register/Investor-alerts/
Posted by:	Finansinspektionen, Sweden
Company:	Atlantic Mutual Int Ltd
Link:	http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid

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Posted by:	Financial Conduct Authority, United Kingdom
Comments:	Clone of FCA firm
Company:	Unregistered On-line trading platforms / Plateformes de négociation en ligne non inscrites
Link:	http://www.lautorite.qc.ca/fr/communiques-2014-conso.html_2014_meg-plateformes-negociation.html
Posted by:	Autorité des marchés financiers, Quebec
Comments:	The AMF is therefore providing investors with a list of websites which are not authorized to offer such investment products and services.

## Deleted (2)

Company:	DCI Funds PLC
Link:	http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid
Posted by:	Financial Conduct Authority, United Kingdom
Comments:	Clone of a FCA Registered Firm
Company:	World Gold GmbH
Link:	https://www.finma.ch/e/sanktionen/unbewilligte-institute/pages /details.aspx?orgid=422
Posted by:	Swiss Financial Market Supervisory Authority, Switzerland

Please click <u>here</u> to go directly to the IOSCO Investor Alerts Portal for more information

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